

The BlackRock Stakeholder Plan Transfer payment Application Form

(to transfer payments from another registered pension scheme)

Important: The decision to transfer pension rights to the BlackRock Life Limited (BLL) Stakeholder Plan (the Plan) is complex and you may be giving up valuable benefits (particularly in relation to a defined benefit (final salary) pension scheme) or incurring financial penalties (in relation to a defined contribution (money purchase) scheme) if you transfer. BlackRock strongly recommends that you seek independent financial advice before making your decision.

The transfer will take an indeterminate time to complete and we do not accept responsibility for fluctuations in the transfer value during this period. If you are concerned about possible falls in value, you should contact your existing pension provider and take appropriate steps to protect the value of your rights until you receive confirmation that the transfer has been completed.

If you need help filling in this form, your human resources department may be able to help you. Alternatively, please call us on 0845 601 7721 or email us at: uk.stakeholder@blackrock.com

Please fill in Parts A to F and send this form to the trustees, administrator or insurer of the scheme making the transfer payment, who will fill in Part I. Please make sure you sign and date the declaration in Part F.

Please note that BLL means BlackRock Life Limited and HMRC means HM Revenue and Customs.

Part A – Your personal details

1. Title Mr Mrs Miss Master Ms <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	6. Address (see note 1) <input type="text"/> <input type="text"/> <input type="text"/>
2. First name (or names) in full <input type="text"/>	7. Postcode <input type="text"/>
3. Surname <input type="text"/>	8. National Insurance number (see note 2) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
4. Date of birth (DD/MM/YY) <input type="text"/> / <input type="text"/> / <input type="text"/>	9. Please insert the name of your employer <input type="text"/>
5. Marital status Single Married Divorced Widowed Separated <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	

Guidance notes

- 1 If your permanent home address is in the UK, we will not be able to process your application without your full postcode.
- 2 You can find your National Insurance number on your payslip, your P60, or on a tax return. It is essential that you provide this information. If this plan is for someone who is not a UK national and has not been given a National Insurance number, please leave this blank and we will contact you.

Part B – Transferring Scheme Details

Please complete the following details about the scheme providing the benefits you wish to transfer (if you are transferring from more than one scheme, please complete a separate application form for each transfer in).

1. Name of transferring scheme/company <input type="text"/>	3. Address of transferring scheme/company <input type="text"/> <input type="text"/> <input type="text"/>
2. Scheme/plan number <input type="text"/>	4. Postcode <input type="text"/>

Part C – Primary or enhanced protection

1. Have you applied to HMRC for primary, enhanced protection or fixed protection? If your answer is 'no' please proceed to Part D	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2. Have you applied to HMRC for primary protection? If 'yes', please supply us with a copy of your HMRC primary protection certificate	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3. Have you applied to HMRC for enhanced or fixed protection? If 'yes', is enhanced or fixed protection intended to continue after the transfer? If 'yes', please supply us with a copy of your HMRC enhanced or fixed protection certificate.	<input type="checkbox"/> Yes <input type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> No

Part D – To be completed by the account holder

Financial advice

Please complete the following details. If the transfer will be from a defined benefit (final salary) pension scheme, please complete Section 1 below. If it will be from a defined contribution (money purchase) pension scheme, please complete Section 2.

Section 1 – transfer from a defined benefit (final salary) pension scheme

1. Have you been advised to transfer by a person authorised by the FCA as a pension transfer specialist? Yes No

If you have answered 'Yes' please arrange for the adviser to complete Part H of this form and go to Part E. If you have answered 'No' please complete Section 3.

Section 2 – defined contribution (money purchase) pension scheme

1. Have you been given advice by an FCA authorised person on whether or not to transfer? Yes No

2. If the answer to 1 is 'Yes', have you been advised to transfer? Yes No

If your answers to 1 and 2 are both 'Yes', please arrange for the adviser to complete Part H of this form and go to Part E.

If your answer to either of the questions in Section 2 is 'No', please complete Section 3.

Section 3 – where you have not been advised to transfer to a stakeholder pension

Where Section 1 is relevant to your transfer and you have answered 'No' in that section or where Section 2 is relevant to your transfer and you have answered 'No' to either question in that section, have you received, read and understood a letter from us headed 'Issues to consider before deciding to transfer pension benefits'? Yes No

If you have answered Section 3 and your answer is 'No', we will send you a letter headed 'Issues to consider before deciding to transfer pension benefits' before we proceed further with arranging the transfer.

Part E – To be completed by the account holder

Investment of your transfer payment

Please tick and complete the appropriate boxes below:

The transfer is to be invested in the same investment funds in the same proportions as my existing Account OR

The transfer is to be invested in the investment funds and in the proportions listed below:

You must specify your choice of investment funds if you do not have an existing Account

Name of investment fund

Total	100%

Beneficiary details

You can choose the person (or people) that you would wish to receive any lump-sum death benefits if you die before taking a pension. We will normally pay lump sum death benefits to the person (or people) that you have nominated.

Any nomination you have already made in respect of your main Account will also apply to the transfer. If you do not already have a main Account or you wish to change your nomination please contact us and we will send you a nomination form.

If you have not nominated any beneficiaries or we are prevented from paying any lump sum death benefit to them under the rules, the benefit will be paid at the discretion of BLL.

Please now go to Part F

Part F – Declaration and offer

1. I confirm that the details and statements I have given in this application are true and complete.
2. I agree that the statements I have given in this application, and statements made and any certificates given by a scheme administrator or insurer of a transferring scheme in connection with this application, will apply to the contracts between us.
3. I agree to be bound by the rules of the BlackRock Stakeholder Plan (the 'plan') for any policies issued to me as a result of this application and for any other policies issued to me under the plan in the future.
4. By sending this application to you, I am authorising you to take all necessary steps to complete the transfer of benefits from the schemes I have named elsewhere in this document without having to contact me. I understand that:
 - a. a copy of the terms and conditions of the BlackRock Stakeholder Plan and the filled-in application form are available if I ask you and that, in any event, you will send me the policy terms;
 - b. if more than one transfer is to be arranged, each transfer will be dealt with separately; and
 - c. that although you will do what you can it may not always be possible for transfers to be accepted and that you may accept or reject transfers individually and will tell me accordingly.
5. I agree that the amounts being transferred to you will be the amounts shown in this application (any variation that may take place during the period from the completion of the application to you accepting my offers may also apply).
6. Notwithstanding any retirement age I may have selected, I understand that benefits may be taken at any time between my 55th birthday and the day before my 75th birthday.
7. I authorise you to get any information you may need in connection with my application and any policies that result from any or all of the following:
 - a. Any employer of mine.
 - b. Pension scheme trustees and administrators.
 - c. Other pension providers.
8. I authorise you to give information to any transferring office or provider who you believe is relevant to the transfers.
9. I authorise you to accept any extra money that the transferring scheme or the National Insurance Contributions Office may send you later and add it to the original policy without contacting me. I understand that you will use any extra payment on the terms that you normally use such payments at the time you receive them.

Part G – What do you need to do next?

All Account holders

Arrange for the Trustees/Administrator of the transferring plan to complete the final section Part I. Please note that if your transfer payment contains unequalised benefits, BlackRock may not accept the transfer payment.

Account holders who have sought advice before making the decision to transfer

If you have sought financial advice to help you decide whether to transfer your benefits, the form entitled Part H must be completed by your Independent Financial Adviser.

I declare that if I am claiming the continuation of enhanced or fixed protection 'relevant benefit accrual' has not occurred under any arrangement in a registered pension scheme

10. Data protection statement.

I agree the following:

- a. The data controller for personal information is BLL.
- b. Any information you collected about me can be provided to and processed by any of BLL's associates or BLL's agent (whether or not this application is successful) to:
 - ▶ process this application;
 - ▶ provide or administer products or services contemplated by any contract that results;
 - ▶ put together and analyse statistical data within the BlackRock Group;
 - ▶ contact me for market-research purposes; and
 - ▶ provide me with information on products and services offered by the BlackRock Group or its associates.

If you prefer us not to contact you about bullet points 4 or 5, tick here

11. Before signing this application, we recommend that you have read and understood the appropriate key features document.

Signature	Date
<input type="text"/>	<input type="text"/>
Name of the person signing this application (please use BLOCK CAPITALS)	
<input type="text"/>	

We confirm that we will manage the plan in line with the plan rules for any policies issued to you as a direct result of this application and for any other policies issued to you under the plan in the future.

If you already have a BlackRock Stakeholder Plan we will add the relevant part of the transfer payment to your existing policy(ies). In any case if you ask us to do so, we will issue new policies for your transfer payment.

If there is a dispute, you may refer the matter, without affecting your right to take legal action, to the Financial Ombudsman Services Limited at South Quay Plaza, 183 Marsh Wall, London E14 9SR. Phone: 0800 023 4567.

Email: complaint.info@financial-ombudsman.org.uk

Website: financial-ombudsman.org.uk

Once all relevant sections of this form have been completed, please send it to:

BlackRock Employee Savings Service Centre
PO Box 705
Peterborough
PE1 1ZL

If you have any questions, please call the Helpline on 0845 601 7721.

To be completed by the Independent Financial Adviser

Part H – BlackRock Stakeholder Plan transfer-in application

1. Name of adviser <input type="text"/>	4. Postcode <input type="text"/>
2. Adviser's employer/firm name <input type="text"/>	5. FCA reference number <input type="text"/>
3. Address <input type="text"/> <input type="text"/> <input type="text"/>	6. Name of client <input type="text"/>
	7. Name of transferring scheme <input type="text"/>

I have advised my client not to/to transfer benefits (delete as applicable) to the BlackRock Stakeholder Plan.

Signed <input type="text"/>	Adviser's stamp <input type="text"/>
Dated <input type="text"/>	

Information for the IFA

In signing this form, BlackRock Life assume that you have advised the client regarding the transfer and that any requests for information regarding the advice will be referred to you.

Please call BlackRock Life on 0845 601 7721 if you would like us to send you a personalised illustration for your client.

To be completed by the Trustee or Administrator of the Transferring Scheme

Part I – BlackRock Stakeholder Plan transfer-in application

1. Name of client <input type="text"/>	4. Full name and address of the Scheme Administrator/personal pension provider <input type="text"/> <input type="text"/> <input type="text"/>
2. Scheme/plan number <input type="text"/>	
3. Full name of transferring scheme/personal pension provider <input type="text"/>	

Transfer information

Is the source of a transfer a registered pension scheme or a scheme treated as being a registered pension scheme, eg. a deferred annuity (buy-out) contract? Yes No

Please note that if you have answered 'No' we will not be able to accept the transfer.

If the scheme has a Pension Scheme Tax Reference number please enter it below.

Please tick the type of scheme:

Occupational Pension Scheme Yes No

If the scheme is an Occupational Pension Scheme, is it:

▶ Defined Benefit Yes No

▶ Defined Contribution Yes No

Personal Pension Scheme Yes No

Retirement Annuity Contract Yes No

(Means a retirement annuity contract or trust scheme previously approved by the Board under Chapter 3 of Part 14 of Income and Corporation Taxes Act 1988)

s32 Buy Out Policy (s19 PSA 1993) Yes No

s32A Buy Out Policy (s32A PSA 1993) Yes No

Other Yes No

Please provide details

Transfer value information

Please confirm the current transfer value:

Total value

Date of calculation

Member information

Please answer the following question regarding the transferring member:

Has the member received any lump sum or pension benefit from the transferring arrangement? Yes No

Please note that we **will not** be able to accept the transfer if any benefits from the transferring arrangement have been crystallised.

Scheme administrator/Trustee Declaration

We declare that to the best of our knowledge and belief the information provided in Part I of this form is true and complete. We further declare that If the transferring member has been granted 'enhanced protection' or 'fixed protection' and it is intended that it should persist after the transfer (see Part C question 3), we confirm that a) the transferring scheme is a registered pension scheme and b) the transfer is a 'permitted transfer' and that c) 'relevant benefit accrual' has not occurred under any arrangement in the transferring scheme.

Signed for and on behalf of the transferring scheme.

Signature

Date

Position

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Past performance is not a guide to future performance. The value of investments and the income from them, may go down as well as up and are not guaranteed. Investors may not get back the amount invested. For the protection of everybody concerned, we normally record phone calls. Tax relief is subject to government legislation and so may change. This document relates to the products of, and is issued by, BlackRock Life Limited, which is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. BlackRock Life Limited, 12 Throgmorton Avenue, London EC2N 2DL. Phone: 020 7743 3000 Fax: 020 7743 1000. Registered in England number 2223202. BlackRock is a trading name of BlackRock Life Limited.